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Nov-23-04 10:28am From: Moser, Patterson & Sheridan L.L.P. +713 623 4846 T-336 P.002/003 F-877

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Walter C. Grollitsch (Depositor's name)  
Walter C. Grollitsch (Signature)  
23 November 2004 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/387,961	09/01/1999	PAUL A JAKOBSON	AVAN-0001 AVAN/000847	3219

**TITLE OF INVENTION:** APPARATUS AND METHOD FOR MAKING AN OPTICAL FIBER AMPLIFIER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330 1370	\$0	\$1330 1370	11/29/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
HUGHES, DEANDRA M	3663	359-341100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
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2. For printing on the patent front page, list  
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1. Moser, Patterson & Sheridan, L.L.P.  
2.  
3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Avanex Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Fremont, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Walter C. Grollitsch

Date 23 November 2004

Registration No. 48678

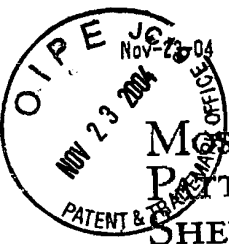
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**DATE:** *November 23, 2004*

**FILE NO:** AVAN/000847

**TO:** Commissioner For Patents  
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**FAX NO:** 703-746-4000

**COMPANY:** USPTO

**FROM:** Walter C. Grollitsch

**PAGE(S) with cover:** 3

**ORIGINAL TO FOLLOW?** ☐ YES ☒ NO

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### Issue Fee Transmittal

**U.S. SERIAL NO.:** 09/387,961

**CONFIRMATION NO.:** 3219

**CUSTOMER NO.:** 47389

**FILING DATE:** September 1, 1999

**TITLE:** Apparatus And Method For Making An Optical Fiber Amplifier

**INVENTOR:** Paul A. Jakobson

**EXAMINER:** Deandra M. Hughes

**GROUP ART UNIT:** 3663

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